



U.S. Department of Justice

*United States Attorney
Eastern District of New York*

*271 Cadman Plaza East
Brooklyn, New York 11201*

June 28, 2015

By Hand

Moises Gertner


Re: Grand Jury Investigation

Dear Mr. Gertner:

This letter provides helpful background information about the Grand Jury. The Grand Jury consists of from sixteen to twenty-three persons from the Eastern District of New York. It is their responsibility to inquire into federal crimes which may have been committed in this District.

As a Grand Jury witness you will be asked to testify and answer questions, and to produce records and documents. Only the members of the Grand Jury, attorneys for the United States and a stenographer are permitted in the Grand Jury room while you testify.

We advise you that the Grand Jury is conducting an investigation of possible violations of federal criminal laws involving, but not necessarily limited to Dan Gertler,

 You are advised that the destruction or alteration of any document required to be produced before the grand jury constitutes serious violation of federal law, including but not limited to Obstruction of Justice.

You are advised that you are a subject of the Grand Jury's investigation. You may refuse to answer any question if a truthful answer to the question would tend to incriminate you. Anything that you do or say may be used against you in a subsequent legal

proceeding. If you have retained counsel, who represents you personally, the Grand Jury will permit you a reasonable opportunity to step outside the Grand Jury room and confer with counsel if you desire.

Very truly yours,

KELLY T. CURRIE
Acting United States Attorney

By: 

James P. Loonam
Assistant U.S. Attorney
(718) 254-7520

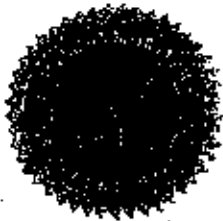
UNITED STATES DISTRICT COURT
for the
Eastern District of New York
SUBPOENA TO TESTIFY BEFORE A GRAND JURY

To: **Moises Gertner**

YOU ARE COMMANDED to appear in this United States district court at the time, date, and place shown below to testify before the court's grand jury. When you arrive, you must remain at the court until the judge or a court officer allows you to leave.

Place: U.S. District Court, Eastern District of New York 225 Cadman Plaza East, 5th Floor, Room N547 Brooklyn, New York 11201	Date and Time: July 7, 2015 at 10:00 a.m.
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You must also bring with you the following documents, electronically stored information, or objects (blank if not applicable):



SEE ATTACHED RIDER

Date: June 25, 2015


DOUGLAS C. PALMER
CLERK OF COURT


Signature of Clerk or Deputy Clerk

The name, address, e-mail, and telephone number of the United States attorney, or assistant United States attorney, who requests this subpoena, are:

Assistant U.S. Attorney James P. Loonam, U.S. Attorney's Office, Eastern District of New York
271 Cadman Plaza East, Brooklyn, New York 11201
james.loonam@usdoj.gov
(718) 254-7520

RIDER TO GRAND JURY SUBPONEA DATED JUNE 25, 2015

For the period January 2004 to present, bring any and all documents* that refer, pertain, relate, or in any way concern or involve Daniel "Dan" Gertler. 



*The term "documents" means each and every writing, of whatever nature, whether an original, a draft, or a copy, however produced, reproduced, or stored, whether manually, mechanically, electronically, electromagnetically, or otherwise, and each and every tangible thing from which information can be processed or transcribed. Non identical copies are deemed to be separate documents. The term "documents" includes, but is not limited to: memoranda, minutes, notes, summaries, telephone records, telephone messages and message logs, telephone listing books, books, schedules, reports, studies, appraisals, analyses, lists, interviews, books of account, notes and minutes of meetings, price sheets, interoffice communications, results of investigations, working papers, computer data, e-mails, text messages, instant messages, letters, facsimiles, contracts, agreements, side agreements, obligations, promissory notes, receipts, calendars, diaries, appointment books, personal files, work sheets, pamphlets, proposals, photographs, ledgers, statements, invoices, billing information, notebooks, verifications of assets, balance sheets, financial statements and other compilations of financial data, bank statements and associated bank records, checks, records of wire transfers or cash payments, charts, graphs, research materials, and other documents similar to the foregoing.

UNITED STATES DISTRICT COURT
for the
Eastern District of New York

SUBPOENA TO TESTIFY BEFORE A GRAND JURY

To: **Moises Gertner**

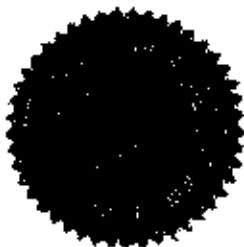
YOU ARE COMMANDED to appear in this United States district court at the time, date, and place shown below to testify before the court's grand jury. When you arrive, you must remain at the court until the judge or a court officer allows you to leave.

Place: U.S. District Court, Eastern District of New York 225 Cadman Plaza East, 5th Floor, Room N547 Brooklyn, New York 11201	Date and Time: August 6, 2015 at 10:00 AM
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You must also bring with you the following documents, electronically stored information, or objects *(blank if not applicable)*:

TESTIMONY

SEE ATTACHED RIDER



Date: August 4, 2015

DOUGLAS C. PALMER

CLERK OF COURT

Signature of Clerk or Deputy Clerk

The name, address, e-mail, and telephone number of the United States attorney, or assistant United States attorney, who requests this subpoena, are:

James Noonan, AUSA
U.S. Attorney's Office
Eastern District of New York
271 Cadman Plaza East
Brooklyn, New York 11201
(718) 254-7520

PROOF OF SERVICE

This subpoena for *(name of individual or organization)* _____
was received by me on *(date)* _____.

I served the subpoena by delivering a copy to the named person as follows: _____

_____ on *(date)* _____; or

I returned the subpoena unexecuted because: _____

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

RIDER TO GRAND JURY SUBPONEA DATED AUGUST 4, 2015

Bring the following documents:

1. All documents obtained in [REDACTED]
2. All documents obtained from Dan Gertler or his agents that concern [REDACTED]
3. All documents purporting to show [REDACTED]
4. All documents exchanged [REDACTED]
5. All documents obtained from Dan Gertler or his representatives or agents [REDACTED]
6. Emails [REDACTED]

*The term "documents" means each and every writing, of whatever nature, whether an original, a draft, or a copy, however produced, reproduced, or stored, whether manually, mechanically, electronically, electromagnetically, or otherwise, and each and every tangible thing from which information can be processed or transcribed. Non identical copies are deemed to be separate documents. The term "documents" includes, but is not limited to: memoranda, minutes, notes, summaries, telephone records, telephone messages and message logs, telephone listing books, books, schedules, reports, studies, appraisals, analyses, lists, interviews, books of account, notes and minutes of meetings, price sheets, interoffice communications, results of investigations, working papers, computer data, e-mails, text messages, instant messages, letters, facsimiles, contracts, agreements, side agreements, obligations, promissory notes, receipts, calendars, diaries, appointment books, personal files,

work sheets, pamphlets, proposals, photographs, ledgers, statements, invoices, billing information, notebooks, verifications of assets, balance sheets, financial statements and other compilations of financial data, bank statements and associated bank records, checks, records of wire transfers or cash payments, charts, graphs, research materials, and other documents similar to the foregoing.